Annexure-E.2 Legal Compliance

[see rule 743(2)]

(Applicable for grant of Gold, Platinum, and Silver)

E.2	Compliance	Yes	No	Remarks
(a)	Whether there is any cases of infringement of Customs Laws by any of the following persons over the three financial years preceding the submission of the application: (i) The applicant; (ii) The person in charge of the applicant company or exercising control over its management; (iii) The person in-charge of dealing with customs related matters If yes, please indicate the details of the cases. Further, submit details related to volume of the customs related operations in '**Remarks**' column.			
(b)	Whether any show cause notice (SCN) has been issued during last three financial years involving fraud, forgery, outright smuggling, clandestine removal of goods or cases where incidence of duty/taxes has been passed on to the customers but not deposited in to the Government treasury. If yes, please indicate the details in '**Remarks**' column.			
(c)	Whether there is any case against you wherein prosecution has been launched? If yes, please indicate the details in '**Remarks**' column			

(d)	**i.** What is the amount of disputed duty demanded or recovery of drawback paid in excess or sought to be denied, in all the SCNs issued under the Customs Act,1969 during the last three financial years? **ii.** What is the total duty paid and drawback claimed during the preceding three financial years? **iii.** What is the ratio of the disputed duty amount involved in the Show Cause Notices (SCNs) to the total duty paid/ drawback claimed during the preceding three years?			
(e)	**i.** Whether procedures are in place to identify and disclose any irregularities or errors to the Customs authorities or, where appropriate, other regulatory bodies? If yes, enclose evidence in support of this. **ii.** Whether system is in place to take appropriate remedial action when irregularities or errors are identified? If yes, enclose evidence in support of this.			